



PTO/SB/17 (11-00)
Approved for use through 10/31/2002, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	130.-
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Complete if Known

Application Number	09/886,362
Filing Date	06-21-2001
First Named Inventor	Bruce Donald Innes
Examiner Name	
Group Art Unit	2171
Attorney Docket No.	13DV13934

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

07-0865

Deposit Account Name

General Electric Co.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	=
Claims	-3** =	X	=
Multiple Dependent			=

Large Entity Small Entity

Fee Code (\$)	Fee	Fee Code (\$)	Fee	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for ex parte reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,240	241	620	Petition to revive - unintentional
142	1,240	242	620	Utility issue fee (or reissue)
143	440	243	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application
Other fee (specify) _____				

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

130.-

SUBMITTED BY

Name (Print/Type)	William Scott Andes	Registration No. (Attorney/Agent)	33,582	Telephone	513/243-5955
Signature				Date	10/2/01

WARNING: Information in this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization in PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 710.00)

Complete if Known

Application Number	
Filing Date	6-21-01
First Named Inventor	Brixie D. Innes
Examiner Name	
Group Art Unit	
Attorney Docket No.	13DV13934

METHOD OF PAYMENT				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 07-0865 Deposit Account Name General Electric Co. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				3. ADDITIONAL FEES Large Entity Small Entity Fee Code (\$) Fee Code (\$) Fee Description Fee Paid 105 130 205 85 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for ex parte reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second month 117 890 217 445 Extension for reply within third month 118 1,390 218 695 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fifth month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,240 241 620 Petition to revive - unintentional 142 1,240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 122' 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(s)) 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b)) 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) _____			
2. Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				SUBTOTAL (1) (\$ 710.00)			
2. EXTRA CLAIM FEES Total Claims 20 -20** = _____ X _____ = _____ Independent Claims 3 - 3** = _____ X _____ = _____ Multiple Dependent _____ X _____ = _____				Extra Claims Fee from below Fee Paid Large Entity Small Entity Fee Code (\$) Fee Code (\$) Fee Description 103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 ** Reissue independent claims over original patent 110 18 210 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$ 0)			
* or number previously paid, if greater; For Reissues, see above				Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0)			

SUBMITTED BY				Complete if applicable	
Name (Print/Type)	GERRY GRESSEL	Registration No. (Attorney/Agent)	34,342	Telephone	513-243-3701
Signature	<i>Gerry Gressel</i>				
Date	June 21, 2001				

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

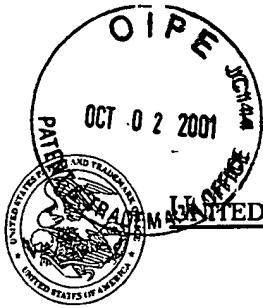


Attorney Docket No. BDV13934 Date Mailed: 6-21-01 Express: #EL627681502U
Applicant(s): Brucce D. Innes

Serial No. _____ Filed: _____
For: Risk Management Application And Method

- Patent Application Transmittal Form Amendment/Response
 Fee Transmittal Sheet (PTO-7) Request for Filing continuation div.
 Application for Patent CPA RFC
Spec 29 pages 20 claims Appeal Brief, _____ copies
 Drawings: _____ shts. Transmittal of Formal Drawings _____ sheets
Formal Informal _____ Information Disclosure Statement
 Declaration & Power of Attorney Missing Parts
 Copy of Assignment NASA Declaration
w/Recordation Form Cover Sheet Preliminary Amendment
 Other: _____

1986 U.S. PTO
09/886362
06/21/01

HB

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,362	06/21/2001	Bruce Donald Innes	13DV13934

CONFIRMATION NO. 4455

FORMALITIES LETTER



OC00000006453319

006111
GENERAL ELECTRIC COMPANY
ANDREW C HESS
GE AIRCRAFT ENGINES
ONE NEUMANN WAY M/D H17
CINCINNATI, OH 452156301

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/05/2001 SZEWIDIE1 00000025 070865 09886362

01 FC:101 740.00 CH
02 FC:105 130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



13DV-13934

Ssector

#3 ✓

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Bruce D. Innes, et al. :
Serial No.: 09/886362 :
Filed: June 21, 2001 : :
For: Risk Management Application :
and Method : :

TRANSMITTAL OF MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is a signed Declaration as requested per the enclosed
"Notice to File Missing Parts".

With regard to the basic filing fee of \$710 which was noted as
"missing", Applicants had submitted a Fee Transmittal Form, to
charge this fee to our Deposit Account. Enclosed is a photocopy of
our stamped post card as evidence of this submission. However, in
the interest of expedited prosecution, enclosed for the convenience
of the Office is a duplicate photocopy of said Fee Transmittal Form.
Please charge this fee to our deposit account.

Also enclosed is a Fee Transmittal Form to address the \$130 fee for
the Declaration. Please charge any other necessary fees for the
processing of this submission to our Deposit Account 07-0865.

Respectfully submitted,

William Scott Andes
Reg. No. 33,582
(513) 243-5955

October 2, 2001
General Electric Company
One Neumann Way, M/D H17
Cincinnati, OH 45215-6301